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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

UNITED STATES OF AMERICA,

Plaintiff,

2:18-CV-0142-TOR

vs.

24 FIREARMS FROM VARIOUS
MANUFACTURERS, MAKES,
MODELS AND ASSORTED CALIBERS,
and APPROXIMATELY 3,138 ROUNDS
OF ASSORTED AMMUNITION,

Amended Verified Complaint for
Forfeiture *In Rem*

Defendants.

Plaintiff, United States of America, by its attorneys, Joseph H. Harrington,
United States Attorney for the Eastern District of Washington, and Brian M. Donovan,
Assistant United States Attorney, brings this Amended Verified Complaint and alleges
the following in accordance with Supplemental Rule G(2) of the Federal Rules of
Civil Procedure.

I. NATURE OF THE ACTION

1. This is an action to forfeit and condemn to the use and benefit of the
United States of America 22 firearms and approximately 3,138 rounds of assorted

ammunition seized by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF), pursuant to 18 U.S.C. § 924(d) for violations of 18 U.S.C. § 922(g)(3) drug user in possession of firearms and ammunition; and two firearms subject to seizure in accordance with Supplemental Rule G(3)(b)(ii) pursuant to 18 U.S.C. § 924(d) for violations of 18 U.S.C. § 922(g)(3) drug user in possession of firearms.

II. THE DEFENDANT(S) *IN REM*

2. The Defendant property consists of:

(a) Twenty-two firearms and approximately 3,138 rounds of assorted ammunition seized by ATF pursuant to a federal search warrant on or about December 13, 2017, further described in Attachment A, attached hereto and incorporated herein by reference; and

(b) Two firearms, a Smith & Wesson MDL 1000 Shotgun Cal: 12, SN: FC33730, and a Ruger LCP Pistol Cal: .380 SN: 371-862256, which are, upon information and belief, currently in the possession of RICHARD JOHNSON, of Spokane, Washington;

hereinafter collectively referred to as “Defendant property”.

III. JURISDICTION AND VENUE

3. Plaintiff brings this action *in rem* in its own right to forfeit and condemn the Defendant property. This Court has jurisdiction over an action commenced by the United States under 28 U.S.C. § 1345, and over an action for forfeiture under 28 U.S.C. § 1355(a).

1 4. This Court has *in rem* jurisdiction over the Defendant property under 28
2 U.S.C. § 1355(b). Upon the filing of this Complaint, the Plaintiff requests that the
3 Court issue an arrest warrant *in rem* pursuant to Supplemental Rule G(3)(b), which the
4 Plaintiff will execute upon the property pursuant to 28 U.S.C. § 1355(d) and
5 Supplemental Rule G(3)(c).
6

7 5. Venue is proper in this district pursuant to 28 U.S.C. §1355(b)(1),
8 because the acts or omissions giving rise to the forfeiture occurred in this district.
9

10 IV. BASIS FOR FORFEITURE

11 6. Plaintiff repeats and realleges each and every allegation set forth in
12 Paragraphs 1 through 5 above.
13

14 7. 18 U.S.C. § 922(g)(3) makes it unlawful for any person who is an
15 unlawful user of or addicted to any controlled substance (as defined in section 102 of
16 the Controlled Substances Act (21 U.S.C. § 802)) to possess in or affecting commerce,
17 any firearm or ammunition or to receive any firearm or ammunition which has been
18 shipped or transported in interstate or foreign commerce.
19
20

21 8. The Defendant property is subject to forfeiture to the United States
22 pursuant to 18 U.S.C. § 924(d)(1) because it constitutes firearms and ammunition
23 involved in or used in a knowing violation(s) of 18 U.S.C. § 922(g)(3), *i.e.* a person
24 who is an unlawful user of controlled substance(s) in possession of firearms and
25 ammunition.
26
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28

V. FACTS

1
2 9. In the fall of 2016, the United States Drug Enforcement Administration
3 (DEA) and the Bureau of Alcohol, Tobacco, Firearms & Explosives (ATF) were
4 conducting independent investigations into controlled substance violations which
5 overlapped in October 2016.
6

7 10. Based upon information discovered during the investigation, agents
8 determined that one of the targets of the investigation, Manuel Augustine ERICKSON
9 lived at 1508 East Wabash Avenue, in Spokane, Washington, with Richard
10 JOHNSON. The investigation also revealed that another target of the investigation,
11 Brandon ROSE, had delivered methamphetamine to both ERICKSON and JOHNSON
12 at the residence. In addition, there was evidence that the residence had been used to
13 store methamphetamine and firearms. Both ERICKSON and ROSE are felons who are
14 not permitted access to firearms.
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16
17

18 11. On December 13, 2017 the Bureau of Alcohol, Tobacco, Firearms &
19 Explosives (ATF), the United States Drug Enforcement Administration (DEA) and the
20 Spokane Police Department (SPD), executed a federal search warrant at Richard
21 JOHNSON's residence located at 1508 East Wabash Avenue, in Spokane,
22 Washington.
23
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1 12. During the search, ATF agents seized 24 firearms and approximately
2 3,138 rounds of ammunition.¹ DEA agents assisting with the search seized 49 gross
3 grams of suspected methamphetamine, a substance later determined by the DEA
4 laboratory to contain 17.418 grams of pure methamphetamine; and, 240 gross grams
5 of suspected marijuana. As the search commenced, JOHNSON was interviewed by an
6 ATF agent and admitted that he routinely used marijuana.
7

8 13. On December 22, 2017, ATF agents contacted JOHNSON at his
9 residence located at 1508 East Wabash Avenue. The agents conducted a consensual
10 conversation with JOHNSON in the agents' vehicle. The agents asked JOHNSON
11 about the methamphetamine found in his residence on December 13, 2017 and
12 informed JOHNSON that the agents were aware of telephone communications on
13 JOHNSON'S phone regarding methamphetamine. JOHNSON then alluded to using
14 methamphetamine.
15
16
17

18 14. On January 5, 2018, SA Helm contacted Clinton Olson at Precision
19 Combat Arms regarding the shotgun JOHNSON had previously ordered and not
20 picked up. Olson stated he was familiar with Richard JOHNSON and JOHNSON had
21 recently picked up a Smith & Wesson shotgun. SA Helm obtained a copy of the ATF
22 Form 4473 (a form required to be filled out by an individual obtaining a firearm from
23
24
25

26 ¹ The United States seeks forfeiture of 22 of the 24 firearms seized on December 13, 2017, by ATF
27 agents. *See* Attachment A. ATF determined that two of the seized firearms were stolen and will
28 administratively return the stolen firearms to their rightful owners.

1 a Federal Firearms Licensee) that JOHNSON filled out when obtaining the shotgun.
2 *See* Attachment B, ATF Form 4473. The ATF Form 4473 form completed by
3 JOHNSON bears his identifying information and address of 1508 East Wabash
4 Avenue, Spokane, WA. This is the same address where ATF and DEA executed a
5 search warrant on December 13, 2017. SA Helm learned that JOHNSON purchased
6 the aforementioned Smith and Wesson shotgun on December 20, 2017. In addition to
7 the shotgun, the ATF Form 4473 completed by JOHNSON indicated he purchased the
8
9 aforementioned Ruger pistol that same day. Upon information and belief, the Smith &
10 Wesson shotgun and Ruger pistol remain in the possession of JOHNSON and
11
12 constitute part of the Defendant property of which the Government seeks forfeiture.
13

14 15. ATF Form 4473 includes question 11(c), which asks the affiant, “Are
15 you an unlawful user of, or addicted to, marijuana or any depressant, stimulant,
16 narcotic drug, or any other controlled substance? **Warning: The use or possession of**
17 **marijuana remains unlawful under Federal law regardless of whether it has been**
18 **legalized or decriminalized for medicinal or recreational purposes in the state**
19 **where you reside.”** *See* Attachment B, ATF Form 4473 (emphasis in original).
20
21

22 Further, by signing ATF Form 4473, the affiant certifies that all answers are “true,
23 correct, and complete” and that the affiant understands that a “yes” answer to question
24 11(c) prohibits the affiant from purchasing a firearm. *Id.* In this same section of the
25 form, the individual purchasing the firearm is also acknowledging that he or she
26 understands that making any false oral or written statement or exhibiting any false or
27
28

1 misrepresented identification with respect to the transaction is a crime punishable as a
2 felony. *Id.*

3 16. On the ATF Form 4473 completed and signed by JOHNSON, he
4 answered "no" to question 11(c). *See* Attachment B, ATF Form 4473. Moreover,
5 JOHNSON signed the form certifying all his answers were true, correct and complete.
6
7 *Id.*

8
9 17. During the interview of JOHNSON on December 13, 2017, SA Helm
10 specifically explained to Johnson that he was prohibited from possessing firearms
11 because of his drug use. SA Helm also explained the meaning of question 11(c) on the
12 ATF Form 4473 to JOHNSON. Despite SA Helm's effort to inform JOHNSON on
13 December 13, 2017 that he was prohibited from possessing firearms, JOHNSON
14 purchased the shotgun and pistol one week later on December 20, 2017. Therefore,
15 JOHNSON violated federal law by answering "no" to question 11(c) on the ATF
16 Form 4473 in violation of 18 U.S.C. § 922(a)(6).
17
18

19 18. The Defendant property, located in the Eastern District of Washington,
20 consists of property that had been shipped and transported in interstate and foreign
21 commerce.
22

23 19. Based on the two interviews ATF agents conducted with JOHNSON, on
24 December 13 and December 22, 2017, where he admitted using marijuana regularly
25 and using methamphetamine occasionally, in combination with the marijuana and
26 methamphetamine found at his residence during the execution of the search warrant,
27
28

1 JOHNSON is prohibited from possessing firearms under Title 18 U.S.C. § 922(g)(3).
2 Therefore, the Defendant property is forfeitable by operation of 18 U.S.C. § 924(d)
3 and 18 U.S.C. § 922(g)(3).
4

5 VI. CONCLUSION

6 WHEREFORE, the Plaintiff requests that the Clerk issue a warrant of arrest *in*
7 *rem* pursuant to Supplemental Rule G(3)(b)(i) for the arrest and seizure of the
8 Defendant property described herein which is currently in the ATF's custody; that the
9 Court issue a warrant of arrest *in rem* pursuant to Supplemental Rule G(3)(b)(ii) for
10 the arrest and seizure of the Defendant property described herein believed to be in the
11 custody of Richard Johnson; that notice of this action be given to all persons who
12 reasonably appear to be potential claimants of interests in the property; that the
13 Defendant property be forfeited and condemned to the United States of America; that
14 the Plaintiff be awarded its costs and disbursements in this action; and for such other
15 and further relief as this Court deems proper and just.
16
17
18

19 DATED this 25th day of May 2018.

20 Joseph H. Harrington
21 United States Attorney

22 s/Brian M. Donovan
23 Brian M. Donovan
24 Assistant United States Attorney

25 VERIFICATION

26 I, David R. Steen, hereby verify and declare under penalty of perjury that I am
27 an Agent with U.S. Customs and Border Protection – Intelligence (BPAI) in Spokane,
28 Amended Verified Complaint for Forfeiture *In Rem*

1 Washington and a Task Force Officer with the Bureau of Alcohol, Tobacco, Firearms
2 and Explosives, in Spokane, Washington, that I have read the foregoing Verified
3 Complaint *in rem* and know the contents thereof, and that the matters contained in the
4 Verified Complaint are true to my own knowledge, except that those matters herein
5 stated to be alleged on information and belief and as to those matters I believe them to
6 be true.
7

8
9 The sources of my knowledge and information and the grounds of my belief are
10 the official files and records of the United States, information supplied to me by other
11 law enforcement officers, as well as my investigation of this case, together with
12 others, as a Task Force Officer with the Bureau of Alcohol, Tobacco, Firearms and
13 Explosives.
14

15 I hereby verify and declare under penalty of perjury that the foregoing
16 information is true and correct.
17

18 DATED this 25th day of May 2018.

19
20 
21 _____
22 David R. Steen, ATF Task Force Officer
23 Border Patrol Agent – Intelligence (BPAI)
24
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Asset List

18-ATF-005913 RUGER P95 PISTOL CAL:9 SN:318-46243
 18-ATF-005914 VYATSKIE POLYANY MACHINE BUILDING PLANT - "MOLOT" VEPR SHOTGUN
 18-ATF-005924 COLT MUSTANG POCKETLITE PISTOL CAL:380 SN:PL43680
 18-ATF-005925 TAURUS INTERNATIONAL PT738 TCP PISTOL CAL:380 SN:ID131775
 18-ATF-005926 SMITH & WESSON 1000M Shotgun CAL:12 SN:FB45501
 18-ATF-005927 A A ARMS INC. AP9 PISTOL CAL:9 SN:056700
 18-ATF-005928 REMINGTON ARMS COMPANY, INC. UNKNOWN SHOTGUN CAL:UNKNOWN SN
 18-ATF-005930 PHOENIX ARMS CO. HP25A PISTOL CAL:25 SN:4506715
 18-ATF-005931 JENNINGS FIREARMS J22 PISTOL CAL:22 SN:368228
 18-ATF-005932 SAVAGE 101 REVOLVER CAL:22 SN:5091
 18-ATF-005934 39 Rounds ASSORTED Ammunition CAL:MULTI
 18-ATF-005935 MAVERICK ARMS (EAGLE PASS, TX) 88 Shotgun CAL:12 SN:MV011212
 18-ATF-005936 26 Rounds ASSORTED Ammunition CAL:MULTI
 18-ATF-005937 300 Rounds REMINGTON Ammunition CAL:380
 18-ATF-005938 DEL-TON INC DTI-15 RIFLE CAL:556 SN:DTI-S131054
 18-ATF-005939 7 Rounds ASSORTED Ammunition CAL:32/380
 18-ATF-005940 6 Rounds SPEER Ammunition CAL:multi
 18-ATF-005941 10 Rounds Assorted Ammunition CAL:multi
 18-ATF-005946 ZASTAVA M70 RIFLE CAL:762 SN:N-PAP050061
 18-ATF-005949 9 Rounds Assorted Ammunition CAL:12
 18-ATF-005955 9 Rounds ASSORTED Ammunition CAL:12
 18-ATF-005956 918 Rounds ASSORTED Ammunition CAL:multi
 18-ATF-005957 95 Rounds Assorted Ammunition CAL:multi
 18-ATF-005958 MOSSBERG 500 Shotgun CAL:12 SN:T856913
 18-ATF-005959 DIAMONDBACK ARMS INC. DB-10 Rifle CAL:unknown SN:DB-40000594
 18-ATF-005960 KAHR ARMS - AUTO ORDINANCE P40 PISTOL CAL:40 SN:WA2603
 18-ATF-005961 SMITH & WESSON 442 AIRWEIGHT REVOLVER CAL:38 SN:CJY8197
 18-ATF-005962 400 Rounds ASSORTED Ammunition CAL:308
 18-ATF-005963 ISRAEL WEAPON IND- IWI (ISRAEL MILITARY IND-IMI) DESERT EAGL
 18-ATF-005964 RUGER GP100 REVOLVER CAL:357 SN:170-11194
 18-ATF-005965 KIMBER CUSTOM II PISTOL CAL:45 SN:K475616
 18-ATF-005966 HS PRODUCTS (IM METAL) XD40 SUB-CMPCT MOD 2 PISTOL CAL:40 SN
 18-ATF-005967 TAURUS 608 REVOLVER CAL:357 SN:K0194242
 18-ATF-005968 62 Rounds ASSORTED Ammunition CAL:multi
 18-ATF-005969 15 Rounds WINCHESTER-WESTERN Ammunition CAL:9
 18-ATF-005970 580 Rounds ASSORTED Ammunition CAL:762
 18-ATF-005971 7 Rounds CBC - BRAZILIAN CARTRIDGE COMPANY Ammunition CAL:45
 18-ATF-005972 305 Rounds ASSORTED Ammunition CAL:multi
 18-ATF-005973 8 Rounds WINCHESTER-WESTERN Ammunition CAL:38
 18-ATF-005975 280 Rounds ASSORTED Ammunition CAL:223
 18-ATF-005976 6 Rounds unknown Ammunition CAL:357
 18-ATF-005977 243 Rounds ASSORTED Ammunition CAL:40
 18-ATF-005978 5 Rounds UNKNOWN Ammunition CAL:40
 18-ATF-005979 50 Rounds ASSORTED Ammunition CAL:12
 18-ATF-005981 290 Rounds ASSORTED Ammunition CAL:9
 18-ATF-005982 12 Rounds ASSORTED Ammunition CAL:40
 18-ATF-005983 14 Rounds SIG SAUER (SIG) Ammunition CAL:10
 18-ATF-005984 270 Rounds assorted Ammunition CAL:556
 18-ATF-005985 5 Rounds FEDERAL Ammunition CAL:50
 18-ATF-005991 93 Rounds ASSORTED Ammunition CAL:12

18-ATF-005593	5 Rounds WINCHESTER-WESTERN Ammunition CAL:38
18-ATF-005995	220 Rounds Assorted Ammunition CAL:Multi 668
18-ATF-005998	Rounds ASSORTED Ammunition CAL:multi

12-20

OMB No. 1140-0020

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's/Seller's
Transaction Serial
Number (If any)

3574

Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."

Section A - Must Be Completed Personally By Transferee/Buyer

1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".) Last Name (Including suffix (e.g., Jr, Sr, II, III))		First Name		Middle Name	
Johnson		Richard		William	
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address			City	County	State ZIP Code
1508 E Wabash Ave			Spokane	WA Spokane	WA 99207
3. Place of Birth U.S. City and State	-OR- Foreign Country	4. Height Pt. 5 In. 10	5. Weight (Lbs.) 155	6. Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	7. Birth Date Month Day Year 1986
San Diego CA					
8. Social Security Number (Optional, but will help prevent misidentification)			9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)		
[REDACTED] 2533					
10.a. Ethnicity <input type="checkbox"/> Hispanic or Latino <input checked="" type="checkbox"/> Not Hispanic or Latino		10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.) <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Black or African American <input checked="" type="checkbox"/> White <input type="checkbox"/> Asian <input type="checkbox"/> Native Hawaiian or Other Pacific Islander			
11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.					Yes No
a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)					<input checked="" type="checkbox"/> <input type="checkbox"/>
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under Federal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.					<input type="checkbox"/> <input checked="" type="checkbox"/>
f. Have you ever been adjudicated as a mental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
g. Have you been discharged from the Armed Forces under dishonorable conditions?					<input type="checkbox"/> <input checked="" type="checkbox"/>
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner? (See Instructions for Question 11.h.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.i.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
12.a. Country of Citizenship: (Check/List more than one, if applicable. Nationals of the United States may check U.S.A.) <input checked="" type="checkbox"/> United States of America (U.S.A.) <input type="checkbox"/> Other Country/Countries (Specify):					
					Yes No
12.b. Have you ever renounced your United States citizenship?					<input type="checkbox"/> <input checked="" type="checkbox"/>
12.c. Are you an alien illegally or unlawfully in the United States?					<input type="checkbox"/> <input checked="" type="checkbox"/>
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)					<input type="checkbox"/> <input checked="" type="checkbox"/>
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions? <input type="checkbox"/> N/A					<input type="checkbox"/> <input checked="" type="checkbox"/>
13. If you are an alien, record your U.S.-Issued Alien or Admission number (AR#, USCIS#, or I94#):					

I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual transferee/buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.i and/or 12.b. through 12.e. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 12.d.1. is prohibited from receiving or possessing a firearm, unless the person answers "yes" to question 12.d.2. and provides the documentation required in 18.c. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of Federal law. (See Instructions for Question 14.)

14. Transferee's/Buyer's Signature  15. Certification Date 12/20/17

Section B - Must Be Completed By Transferor/Seller

16. Type of firearm(s) to be transferred (check or mark all that apply):
☒ Handgun ☒ Long Gun (rifles or shotguns) ☐ Other Firearm (frame, receiver, etc. See Instructions for Question 16.)
 17. If transfer is at a qualifying gun show or event:
 Name of Function: _____
 City, State: _____

18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a.)
 Issuing Authority and Type of Identification Number on Identification Expiration Date of Identification (if any)
 [Redacted] Month [Redacted] Day [Redacted] Year 2019

18.b. Supplemental Government Issued Documentation (if identification document does not show current residence address) (See Instructions for Question 18.b.)

18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2. the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.)

Questions 19, 20, or 21 Must Be Completed Prior To The Transfer Of The Firearm(s) (See Instructions for Questions 19, 20 and 21.)

19.a. Date the transferee's/buyer's identifying information in Section A was transmitted to NICS or the appropriate State agency:
 Month 12 Day 20 Year 2017
 19.b. The NICS or State transaction number (if provided) was: 1006MS6WD

19.c. The response initially (first) provided by NICS or the appropriate State agency was:
☒ Proceed ☐ Delayed (The firearm(s) may be transferred on _____ if State law permits (optional))
☐ Denied ☐ Cancelled
 19.d. The following response(s) was/were later received from NICS or the appropriate State agency:
☐ Proceed _____ (date) ☐ Overturned
☐ Denied _____ (date)
☐ Cancelled _____ (date)
☐ No response was provided within 3 business days.

19.c. (Complete if applicable.) After the firearm was transferred, the following response was received from NICS or the appropriate State agency on: _____ (date). ☐ Proceed ☐ Denied ☐ Cancelled

19.f. The name and Brady identification number of the NICS examiner. (Optional) 19.g. Name of FFL Employee Completing NICS check. (Optional)
 (name) (number)

20. ☐ No NICS check was required because a background check was completed during the NFA approval process on the individual who will receive the NFA firearm(s), as reflected on the approved NFA application. (See Instructions for Question 20.)

21. ☐ No NICS check was required because the transferee/buyer has a valid permit from the State where the transfer is to take place, which qualifies as an exemption to NICS. (See Instructions for Question 21.)

Issuing State and Permit Type Date of Issuance (if any) Expiration Date (if any) Permit Number (if any)

Section C - Must Be Completed Personally By Transferee/Buyer

If the transfer of the firearm(s) takes place on a different day from the date that the transferee/buyer signed Section A, the transferee/buyer must complete Section C immediately prior to the transfer of the firearm(s). (See Instructions for Question 22 and 23.)

I certify that my answers to the questions in Section A of this form are still true, correct, and complete.

22. Transferee's/Buyer's Signature 23. Recertification Date

Transferor/Seller Continue to Next Page
 STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor/Seller Even If The Firearm(s) is Not Transferred

24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
1. SWARTZ & NESSON	MDL 1000	FC 33730	SHOTGUN	12 GAUGE
2. RUGER	LCP	371-862250	PISUL	.380ACP
3.				
4.				

REMINDER - By the Close of Business Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days

29. Total Number of Firearms Transferred (Please <i>handwrite</i> by printing e.g., zero, one, two, three, etc. Do not use numerals.)	30. Check if any part of this transaction is a pawn redemption. <input type="checkbox"/> Line Number(s) From Question 24 Above:
31. For Use by Licensee (See Instructions for Question 31.)	32. Check if this transaction is to facilitate a private party transfer. <input type="checkbox"/> (See Instructions for Question 32.)

33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.)

PRECISION COMBAT ARMS
1710 E. TRENT UNIT 1
SPOKANE, WA 99202
509-535-0655
9-91- -03967

The Person Transferring The Firearm(s) Must Complete Questions 34-37.

For Denied/Cancelled Transactions, the Person Who Completed Section B Must Complete Questions 34-36.

I certify that: (1) I have read and understand the Notices, Instructions, and Definitions on this ATF Form 4473; (2) the information recorded in Sections B and D is true, correct, and complete; and (3) this entire transaction record has been completed at my licensed business premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in question 18 (and my re-verification at the time of transfer, if Section C was completed); and (3) State or local law applicable to the firearms business — it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A.

34. Transferor's/Seller's Name (Please print)	35. Transferor's/Seller's Signature	36. Transferor's/Seller's Title	37. Date Transferred
ALANSON OLSON		OWNER	12-20-17

NOTICES, INSTRUCTIONS, AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor's/seller's State and the transferee's/buyer's State. (See ATF Publication 5300.5, *State Laws and Published Ordinances*.)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a non-licensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(c), 27 CFR 478.96(h), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED:

If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor's/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. **Warning:** Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.